

11 Financial  
Form ADV Part 2B  
Individual Disclosure Brochure  
*for*  
Steven Michael Goffner  
Personal CRD Number: 1616334  
Investment Adviser Representative

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*This brochure supplement provides information about Steven Goffner that supplements the 11 Financial brochure. You should have received a copy of that brochure. Please contact us at (936) 899-5629 or by email at: [Info@Choose11.com](mailto:Info@Choose11.com) if you did not receive 11 Financial's brochure or have any questions about the contents of this supplement.*

*Additional information about Steven Goffner is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

UPDATED: 03/11/2024

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## **Item 2: Educational Background and Business Experience**

Name: Steven Michael Goffner: 1956

### **Educational Background and Professional Designations:**

#### **Education:**

- **Bachelor of Science, Business Administration, 1978**  
Pace University - New York City, NY
- **Masters Degree, Master of Science, 1982**  
Long Island University - Long Island, NY

#### **Business Background:**

- 03/24 - Current Financial Advisor  
11 Financial
- 01/17 - Current Adjunct Lecturer  
Hunter College - CUNY
- 09/23 - 03/24 Financial Advisor  
empiriKal Partners, LLC
- 05/16-01/17 Unemployed
- 07/15 - 07/17 Adjunct Instructor  
Monroe College- Bronx, NY
- 09/92-10/15 Teacher  
New York City Department of Education

## **Item 3: Disciplinary Information**

No legal or disciplinary events are material to a client's or prospective client's evaluation of this advisory business.

## **Item 4: Other Business Activities**

Steven Michael Goffner is a part-time lecturer at Hunter College. The work is seasonal based on the semester system and is expected to take approximately 5 hours a week. There is not a conflict of interest as it pertains to his role with 11 Financial. We require that all IARs disclose this conflict of interest when such recommendations are made. Also, we require IARs to disclose that clients may purchase recommended insurance products from other insurance agents not affiliated with us.

## **Item 5: Additional Compensation**

There are no sources of additional compensation outside of 11 Financial.

## **Item 6: Supervision**

As an investment adviser representative of 11 Financial, Steven Michael Goffner is supervised by Taylor James Kovar, CEO of 11 Financial. Steven Michael Goffner's response information is on the cover page of this disclosure document. Steven Michael Goffner adheres to all required regulations regarding the activities of an Investment Adviser Representative. He follows all policies and procedures outlined in the firm's policies and procedures manual, including the Code of Ethics and appropriate securities regulatory requirements.

## **Item 7: Requirements for State Registered Advisers**

*This disclosure is required by Texas state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

- A. Steven Michael Goffner has NOT been involved in any of the events listed below.
  - 1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500 involving any of the following: a) an investment or an investment-related business or activity; b) fraud, false statement(s), or omissions; c) theft, embezzlement, or other wrongful taking of property; d) bribery, forgery, counterfeiting, or extortion; or e) dishonest, unfair, or unethical practices.
  - 2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following: a) an investment or an investment-related business or activity; b) fraud, false statement(s), or omissions; c) theft, embezzlement, or other wrongful taking of property; d) bribery, forgery, counterfeiting, or extortion; or e) dishonest, unfair, or unethical practices.
- B. Steven Michael Goffner has NOT been the subject of a bankruptcy petition in the past ten years.